

## Root Multiplicity for Some GKM Algebras of Order 4

Uthra G<sup>1</sup>, Priyanka M<sup>2</sup>

<sup>1</sup>Department of Mathematics, Pachaiyappa's College, Chennai

<sup>2</sup>Department of Mathematics, Pachaiyappa's College, Chennai

Corresponding Author: Priyanka M

### -----ABSTRACT-----

In this paper, we consider the generalized Kac-Moody algebras associated with Generalized Generalized Cartan matrices of finite  $A_4$  family. The root multiplicity of all roots is determined using Witt partition function.

**KEYWORDS** – Cartan matrix, Dimension, Hyperbolic, Partition function, Root Multiplicities.

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### I. INTRODUCTION

Borchers introduced the notion of generalized Kac-Moody algebras (GKM algebras) in [2]. Determining the multiplicities for imaginary roots is still an open problem. Kim and Shin, computed the recursion dimension formula for all graded Lie algebras in [5]. A closed form root multiplicity formula for all the roots of GKM algebras were derived by Kang in [6] and [7]. Root multiplicities of the indefinite kac-Moody algebras  $HD_4^{(3)}$ ,  $HG_2^{(1)}$  and  $HD_n^{(1)}$  were determined in [3] and [4]. The classification of purely imaginary, Strictly imaginary and special imaginary roots were given in [8] - [12]. The  $EB_2$  family was studied in [13] and [14]. In this paper, we consider the finite  $A_4$  family and compute the root multiplicities for the Generalized Kac-Moody algebras.

### II. PRELIMINARIES

The definitions and notations are used as in [1], [15] and [16]. Let  $I = \{1, 2, 3, \dots\}$  be a finite or countably infinite index set and  $A = (a_{ij})_{i,j \in I} \in I$  be a real matrix satisfying the following conditions:

1. either  $a_{ii} = 2$  or  $a_{ii} \leq 0 \forall i \in I$ ;
2.  $a_{ij} \leq 0$  if  $i \neq j$  and  $a_{ij} \in \mathbb{Z}$  if  $a_{ij} = 2$ ;
3.  $a_{ij} = 0$  implies  $a_{ji} = 0$ .

$A$  is called a generalized generalized Cartan matrix (abbreviated as GGCM) and the Lie algebra  $\mathfrak{g}(A)$  associated with  $A$  is called the generalized Kac-Moody algebra. We assume that a GGCM  $A$  is symmetrizable if  $\exists$  a diagonal matrix  $D = \text{diag}(s_i | i \in I)$  with  $s_i > 0 (i \in I)$  such that  $DA$  is symmetric.

Let  $I^{re} = \{i \in I | a_{ii} = 2\}$ ,  $I^{im} = \{i \in I | a_{ii} \leq 0\}$ , and let  $\underline{m} = (m_i \in \mathbb{Z}_{>0} | i \in I)$  be a collection of positive integers such that  $m_i = 1$  for all  $i \in I^{re}$ .

The GKM algebra  $\mathfrak{g} = \mathfrak{g}(A, \underline{m})$  associated with a symmetrizable GGCM  $A = (a_{ij})_{i,j \in I}$  of charge  $\underline{m} = (m_i | i \in I)$  is the Lie algebra generated by the elements  $h_i, d_i (i \in I)$ ,  $e_{ik}, f_{ik} (i \in I, k = 1, \dots, m_i)$  with the defining relations:

$$\begin{aligned} [h_i, h_j] &= [d_i, d_j] = [h_i, d_j] = 0, \\ [h_i, e_{jl}] &= a_{ij} e_{jl}, [h_i, f_{jl}] = -a_{ij} f_{jl}, \\ [d_i, e_{jl}] &= \delta_{ij} e_{jl}, [d_i, f_{jl}] = -\delta_{ij} f_{jl}, \\ [e_{ik}, f_{jl}] &= \delta_{ij} \delta_{kl} h_i, (ad_{e_{ik}})^{1-a_{ij}}(e_{jl}) = (ad_{f_{ik}})^{1-a_{ij}}(f_{jl}) = 0 \text{ if } a_{ii} = 2, i \neq j, \\ [e_{ik}, e_{jl}] &= [f_{ik}, f_{jl}] = 0 \text{ if } a_{ij} = 0 \end{aligned}$$

where,  $(i, j \in I, k = 1, \dots, m_i, l = 1, \dots, m_j)$ .

Let  $P^+ = \{\lambda \in \mathfrak{h}^* | \lambda(h_i) \geq 0 \text{ for all } i \in I, \lambda(h_i) \in \mathbb{Z}_2 \cdot 0 \text{ if } a_{ii} = 2\}$ , and let  $V(\lambda)$  be the irreducible highest weight module over  $\mathfrak{g}$  with highest weight  $\lambda$ .

Let  $W_J = \langle \tau_j | j \in J \rangle$  be the subgroup of  $W$  generated by the simple reflections  $\tau_j (j \in J)$  and let  $W(J) = \{w \in W | w\Delta^- \cap \Delta^+ \subset \Delta^+(J)\}$ . Then  $W_J$  is the Weyl group of the Kac-Moody algebra  $\mathfrak{g}_0^{(J)}$  and  $W(J)$  be the set of right coset representatives of  $W_J$  in  $W$ .

**Proposition:**[2]:  $H_k^{(J)} = \bigoplus_{\substack{w \in W(J) \\ F \subset T \\ l(w)+|F|=k}} V_J(w(\rho - s(F)) - \rho)_w$

here  $V_J(\mu)$  denotes the irreducible highest weight module over  $\mathfrak{g}_0^{(J)}$  with highest weight  $\mu$  and  $F$  runs over all the finite subsets of  $T$  such that any two elements in  $F$  are mutually perpendicular. Here we denote by  $|F|$ , the number of elements in  $F$  and  $s(F)$ , the sum of elements in  $F$ .

Define the homology space  $H^{(J)}$  of  $\mathfrak{g}_-^{(J)}$  to be

$$H^{(J)} = \sum_{k=1}^{\infty} (-1)^{k+1} H_k^{(J)} = \sum_{\substack{w \in W(J) \\ F \subset T \\ l(w)+|F| \geq 1}} (-1)^{l(w)+|F|+1} V_J(w(\rho - s(F)) - \rho).$$

Let

$P(H^{(J)}) = \{\alpha \in Q^-(J) | \dim H_{\alpha}^{(J)} \neq 0\} = \{\tau_1, \tau_2, \tau_3, \tau_4, \dots\}$  and  $d(i) = \dim H_{\tau_i}^{(J)}$  for  $i=1,2,3,\dots$

For  $\tau \in Q^-(J)$ , we denote by  $T^{(J)}(\tau)$  the set of all partitions of  $\tau$  into a sum of  $\tau_i$ 's, (i.e.),  $T^{(J)}(\tau) = \{n = (n_i)_{i \geq 1} | n_i \in \mathbb{Z}_{\geq 0}, \sum n_i \tau_i = \tau\}$ .

For  $n \in T^{(J)}(\tau)$ , we will use the notation  $|n| = \sum n_i$  and  $n! = \prod n_i!$ . Now, for  $\tau \in Q^-(J)$ , we define a function

$$W^{(J)}(\tau) = \sum_{n \in T^{(J)}(\tau)} \frac{(|n|-1)!}{n!} \prod d(i)^{n_i}. \quad (1)$$

The function  $W^{(J)}(\tau)$  is called the Witt partition function.

**Theorem 1.1**[2]: Let  $\alpha \in \Delta^-(J)$  be a root of a symmetrizable GKM algebra  $\mathfrak{g}$ . Then we have

$$\begin{aligned} \dim g_{\alpha} &= \sum_{d|\alpha} \frac{1}{d} \mu(d) W(J) \left(\frac{\alpha}{d}\right) \\ &= \sum_{d|\alpha} \frac{1}{d} \mu(d) \sum_{n \in T^{(J)}(\frac{\alpha}{d})} \frac{(|n|-1)!}{n!} \prod d(i)^{n_i} \end{aligned}$$

where  $\mu$  is the classical Mobius function.

### III. ROOT MULTIPLICITY OF A GKM ALGEBRAS OF FINITE $A_4$ TYPE

In this section, we explicitly determine the root multiplicities of GKM algebra associated with the GGCM which is an extension of finite  $A_4$ . Consider the GKM algebra of finite  $A_4$  type associated with the Generalized Generalized Cartan Matrix (GGCM)

$$\begin{pmatrix} -k & -1 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 \\ 0 & -1 & 2 & -1 & 0 \\ 0 & 0 & -1 & 2 & -1 \\ 0 & 0 & 0 & -1 & 2 \end{pmatrix}$$

of charge  $\underline{m} = (s, 1, 1, 1, 1)$  where  $k, s \in \mathbb{Z}_{>0}$ .

Let  $I = \{1, 2, 3, 4, 5\}$  be the index set for the simple roots of  $\mathfrak{g}$ . Then,  $\alpha_1$  is the imaginary simple root with multiplicity  $r \geq 1$  and  $\alpha_2, \alpha_3, \alpha_4$  and  $\alpha_5$  are the real simple roots.

Then we have

$$T = \{\alpha_1, \alpha_1, \dots, \alpha_1\} \text{ counted } s \text{ times.}$$

Since  $(\alpha_1, \alpha_1) = -k < 0$ ,  $F$  can be either empty or  $\{\alpha_1\}$ .

If we take  $J = \{2, 3, 4, 5\}$ , then

$$g_0^{(J)} = g_0 \oplus \mathbb{C}h_1,$$

where  $g_0 = \langle e_2, f_2, h_2, e_3, f_3, h_3, e_4, f_4, h_4, e_5, f_5, h_5 \rangle$

and  $W(J) = \{1\}$

By proposition, we have

$$\begin{aligned} H_1^{(J)} &= V_J(-\alpha_1) \oplus \cdots \oplus V_J(-\alpha_1) \text{ (s copies)} \\ H_2^{(J)} &= 0 \\ &\vdots \\ H_k^{(J)} &= 0 \text{ for } k \geq 2. \end{aligned}$$

Therefore we get

$$H^{(J)} = V_J(-\alpha_1) \oplus \cdots \oplus V_J(-\alpha_1) \text{ (s copies),}$$

where  $V_J(-\alpha_1)$  is the standard representation.

By identifying  $-l_1\alpha_1 - l_2\alpha_2 - l_3\alpha_3 - l_4\alpha_4 - l_5\alpha_5 \in Q^-$  with

$(l_1, l_2, l_3, l_4, l_5) \in \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0} \times \mathbb{Z}_{\geq 0}$ , we have

$P(H^{(J)}) = \{(1,0,0,0,0), (1,1,0,0,0), (1,1,1,0,0), (1,1,1,1,0), (1,1,1,1,1)\}$ , where

$$\dim H_{(1,0,0,0,0)}^{(J)} = \dim H_{(1,1,0,0,0)}^{(J)} = \dim H_{(1,1,1,0,0)}^{(J)} = \dim H_{(1,1,1,1,0)}^{(J)} = \dim H_{(1,1,1,1,1)}^{(J)} = s.$$

For  $l_1, l_2, l_3, l_4, l_5 \in \mathbb{Z}_{\geq 0}$ , the only partition of  $(l_1, l_2, l_3, l_4, l_5)$  into a sum of  $(1,0,0,0,0), (1,1,0,0,0), (1,1,1,0,0), (1,1,1,1,0), (1,1,1,1,1)$  is

$$T^{(J)}(\tau) = \{(n_1, n_2, n_3, n_4, n_5) = n_1(1,0,0,0,0) + n_2(1,1,0,0,0) + n_3(1,1,1,0,0) + n_4(1,1,1,1,0) + n_5(1,1,1,1,1) = (l_1, l_2, l_3, l_4, l_5)\}$$

Therefore, by solving the above condition we get,

$$(l_1, l_2, l_3, l_4, l_5) = (l_1 - l_2)(1,0,0,0,0) + (k_2 - k_3)(1,1,0,0,0) + (k_3 - k_4)(1,1,1,0,0) + (k_4 - k_5)(1,1,1,1,0) + k_5(1,1,1,1,1).$$

Thus the Witt partition function (1) becomes

$$W^{(J)}(l_1, l_2, l_3, l_4, l_5) = \frac{(l_1 - 1)!}{(l_1 - l_2)!(l_2 - l_3)!(l_3 - l_4)!(l_4 - l_5)!l_5!} r^{l_1}$$

Therefore, by the Theorem (1), we obtain the following proposition:

**Proposition 3.1:** Let  $g = g(A, \underline{m})$  be the GKM algebra associated with the GGCM

$$A = \begin{pmatrix} -k & -1 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 \\ 0 & -1 & 2 & -1 & 0 \\ 0 & 0 & -1 & 2 & -1 \\ 0 & 0 & 0 & -1 & 2 \end{pmatrix}$$

of charge  $\underline{m} = (s, 1, 1, 1, 1)$  with  $k, s \in \mathbb{Z}_{>0}$ .

Thus, for the root  $\alpha = -l_1\alpha_1 - l_2\alpha_2 - l_3\alpha_3 - l_4\alpha_4 - l_5\alpha_5$  with  $l_1, l_2, l_3, l_4, l_5 \in \mathbb{Z}_{\geq 0}$ , we have

$$\dim g(l_1, l_2, l_3, l_4, l_5) = \frac{1}{k_1} \sum_{d \mid (l_1, l_2, l_3, l_4, l_5)} (\mu)d \frac{\binom{l_1 - 1}{d}!}{\binom{l_1 - l_2}{d}! \binom{l_2 - l_3}{d}! \binom{l_3 - l_4}{d}! \binom{l_4 - l_5}{d}! \binom{l_5}{d}!} r^{\frac{l_1}{d}} \quad (2)$$

**Some special cases:**

1. If  $l_5 > l_1$  or  $l_4 > l_3$  or  $l_3 > l_2$  or  $l_2 > l_1$ ,

$$\text{then } \dim g_{(l_1, l_2, l_3, l_4, l_5)} = 0.$$

2. If  $l_1 = l_2 = l_3 = l_4 = l_5$ ,

$$\text{then } \dim g_{(l_1, l_1, l_1, l_1, l_1)} = \frac{1}{k_1} \sum_{d|l_1} \mu(d) r^{\frac{k_1}{d}}.$$

**Example:**

- (i) For the root (6,5,4,3,2), using the formula (2), we have

$$\dim g_{(6,5,4,3,2)} = \frac{1}{6} \times \frac{6!}{4!2!} \times \frac{4!}{3!1!} \times \frac{3!}{2!1!} \times \frac{2!}{1!1!} r^6 = 60r^6$$

$$\text{In particular when } r=2, \text{ we get } \dim g_{(6,5,4,3,2)} = 1920$$

- (ii) For the root (10,8,6,4,2), using the formula (2), we have

$$\dim g_{(10,8,6,4,2)} = 11340r^{10} - 12r^5$$

$$\text{In particular when } r=2, \text{ we get } \dim g_{(10,8,6,4,2)} = 11611776$$

- (iii) For the root (3,3,3,3,3), using the formula (2), we have

$$\dim g_{(3,3,3,3,3)} = \frac{r^3 - r}{3}$$

$$\text{In particular when } r=3, \text{ we get } \dim g_{(3,3,3,3,3)} = 8$$

- (iv) For the root (5,4,3,2,1), using the formula (2), we have

$$\dim g_{(5,4,3,2,1)} = 12r^5$$

$$\text{In particular when } r=5, \text{ we get } \dim g_{(5,4,3,2,1)} = 37500$$

#### IV. CONCLUSION

Thus, we have delimited the root multiplicity for the finite type of GKM algebras  $A_4$ . Similarly we can explicitly find the root multiplicity for other families of finite, affine and hyperbolic type of GKM algebras.

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